

| General information about company | |
|--|----------------------------|
| Scrip code | 504908 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE340F01011 |
| Name of the company | DUNCAN ENGINEERING LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2022 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

Declaration

| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|--|--------|-----------------------------|--------------------|--------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | Yes | | | |

Table VI - Statement showing foreign ownership limits

| Particular | Approved limits (%) | Limits utilized (%) |
|---------------------------------------|----------------------------|----------------------------|
| As on shareholding date | 100 | 0.38 |
| As on the end of previous 1st quarter | 100 | 0.37 |
| As on the end of previous 2nd quarter | 100 | 0.5 |
| As on the end of previous 3rd quarter | 100 | 0.53 |
| As on the end of previous 4th quarter | 100 | 0.37 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 3 | 2755855 | | | 2755855 | 74.56 | 2755855 | | 2755855 | 74.56 |
| (B) | Public | 3520 | 940145 | | | 940145 | 25.44 | 940145 | | 940145 | 25.44 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 3523 | 3696000 | | | 3696000 | 100 | 3696000 | | 3696000 | 100 |

Table I - Summary Statement holding of specified securities

| Category of (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares | | |
|-----------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|------------------------------|-------------------|--------------------|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| (A) | Promoter & Promoter Group | | | | 74.56 | | | | | 2755855 | | | |
| (B) | Public | | | | 25.44 | | | | | 824786 | 0 | 0 | 0 |
| (C) | Non Promoter-Non Public | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | |
| | Total | | | | 100 | | | | | 3580641 | 0 | 0 | 0 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|--|---|----------------------------|--|--|---|--|---|---|------------|---------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (d) | Any Other (specify) | 3 | 2755855 | | | 2755855 | 74.56 | 2755855 | | 2755855 | 74.56 |
| Sub-Total (A)(1) | | 3 | 2755855 | | | 2755855 | 74.56 | 2755855 | | 2755855 | 74.56 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 3 | 2755855 | | | 2755855 | 74.56 | 2755855 | | 2755855 | 74.56 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions (Domestic) | | | | | | | | | | |
| (d) | Banks | 9 | 2075 | | | 2075 | 0.06 | 2075 | | 2075 | 0.06 |
| Sub-Total (B)(1) | | 9 | 2075 | | | 2075 | 0.06 | 2075 | | 2075 | 0.06 |
| (2) | Institutions (Foreign) | | | | | | | | | | |
| (3) | Central Government / State Government(s) | | | | | | | | | | |
| (4) | Non-institutions | | | | | | | | | | |
| (f) | Investor Education and Protection Fund (IEPF) | 1 | 13276 | | | 13276 | 0.36 | 13276 | | 13276 | 0.36 |
| (g) | Resident Individuals holding nominal share capital up to Rs. 2 lakhs | 3351 | 687453 | | | 687453 | 18.6 | 687453 | | 687453 | 18.6 |
| (h) | Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs | 5 | 194548 | | | 194548 | 5.26 | 194548 | | 194548 | 5.26 |
| (i) | Non Resident Indians (NRIs) | 45 | 14032 | | | 14032 | 0.38 | 14032 | | 14032 | 0.38 |
| (l) | Bodies Corporate | 34 | 7386 | | | 7386 | 0.2 | 7386 | | 7386 | 0.2 |
| (m) | Any Other (specify) | 75 | 21375 | | | 21375 | 0.58 | 21375 | | 21375 | 0.58 |
| Sub-Total (B)(4) | | 3511 | 938070 | | | 938070 | 25.38 | 938070 | | 938070 | 25.38 |
| Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+(B)(4) | | 3520 | 940145 | | | 940145 | 25.44 | 940145 | | 940145 | 25.44 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 3523 | 3696000 | | | 3696000 | 100 | 3696000 | | 3696000 | 100 |
| Total (A+B+C) | | 3523 | 3696000 | | | 3696000 | 100 | 3696000 | | 3696000 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | Sub-categorization of shares | | |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|------------------------------|-------------------|--------------------|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | | |
| (d) | | | | 74.56 | | | | | 2755855 | | | |
| Sub-Total (A) (1) | | | | 74.56 | | | | | 2755855 | | | |
| (2) | Foreign | | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 74.56 | | | | | 2755855 | | | |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | | |
| (1) | Institutions (Domestic) | | | | | | | | | | | |
| (d) | | | | 0.06 | | | | | 1022 | 0 | 0 | 0 |
| Sub-Total (B) (1) | | | | 0.06 | | | | | 1022 | 0 | 0 | 0 |
| (2) | Institutions (Foreign) | | | | | | | | | | | |
| (3) | Central Government / State Government(s) | | | | | | | | | | | |
| (4) | Non-institutions | | | | | | | | | | | |
| (f) | | | | 0.36 | | | | | 13276 | 0 | 0 | 0 |
| (g) | | | | 18.6 | | | | | 575808 | 0 | 0 | 0 |
| (h) | | | | 5.26 | | | | | 194548 | 0 | 0 | 0 |
| (i) | | | | 0.38 | | | | | 12243 | 0 | 0 | 0 |
| (l) | | | | 0.2 | | | | | 6514 | 0 | 0 | 0 |
| (m) | | | | 0.58 | | | | | 21375 | 0 | 0 | 0 |
| Sub-Total (B) (4) | | | | 25.38 | | | | | 823764 | 0 | 0 | 0 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4) | | | | 25.44 | | | | | 824786 | 0 | 0 | 0 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 3580641 | | | |
| Total (A+B+C) | | | | 100 | | | | | 3580641 | | | |

| Any Other (specify) | | | | |
|---|---------------------------------|------------------------------|--------------------------------------|---------------------------------------|
| Searial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | ORIENTAL CARBON & CHEMICALS LTD | COSMOPOLITAN INVESTMENTS LTD | DUNCAN INTERNATIONAL (INDIA) LIMITED | |
| PAN (II) | AAACO3006F | AABCC0471H | AABCD1222D | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 3 |
| No. of fully paid up equity shares held (IV) | 1848500 | 905336 | 2019 | 2755855 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1848500 | 905336 | 2019 | 2755855 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 50.01 | 24.5 | 0.05 | 74.56 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 1848500 | 905336 | 2019 | 2755855 |
| Class eg:y | | | | |
| Total | 1848500 | 905336 | 2019 | 2755855 |
| Total as a % of Total Voting rights | 50.01 | 24.5 | 0.05 | 74.56 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= | 50.01 | 24.5 | 0.05 | 74.56 |

| | | | | |
|---|----------|----------|----------|---------|
| (VII)+(X) As a % of (A+B+C2) | | | | |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 1848500 | 905336 | 2019 | 2755855 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |
| Shareholder type | Promoter | Promoter | Promoter | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | | |
|--|--------------------------|-----------------------------|----------------------|---------------------------------------|
| Searial No. | 1 | 2 | 3 | |
| Name of the Shareholders (I) | Jashwanti Maganlal Desai | Javahir Jaysukhlal Jagirdar | Nilu Anilkumar Desai | Click here to go back |
| PAN (II) | ACLPD6575G | AFVPI5089C | ABOPD2195A | Total |
| No. of fully paid up equity shares held (IV) | 54000 | 38000 | 42905 | 134905 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 54000 | 38000 | 42905 | 134905 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.46 | 1.03 | 1.16 | 3.65 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 54000 | 38000 | 42905 | 134905 |
| Class eg:y | | | | |
| Total | 54000 | 38000 | 42905 | 134905 |
| Total as a % of Total Voting rights | 1.46 | 1.03 | 1.16 | 3.65 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.46 | 1.03 | 1.16 | 3.65 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |

| | | | | |
|---|-------|-------|-------|--------|
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 54000 | 38000 | 42905 | 134905 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |
| Sub-categorization of shares | | | | |
| Shareholding (No. of shares) under | | | | |
| Sub-category (i) | 0 | 0 | 0 | 0 |
| Sub-category (ii) | 0 | 0 | 0 | 0 |
| Sub-category (iii) | 0 | 0 | 0 | 0 |

| Any Other (specify) | | | |
|--|----------|------------------|---------------------------------------|
| Serial No. | 1 | 2 | |
| Category | HUF | Clearing Members | |
| Category / More than 1 percentage | Category | Category | |
| Name of the Shareholders (I) | | | Click here to go back |
| PAN (II) | | | Total |
| No. of the Shareholders (I) | 72 | 3 | 75 |
| No. of fully paid up equity shares held (IV) | 21135 | 240 | 21375 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 21135 | 240 | 21375 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.57 | 0.01 | 0.58 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg: X | 21135 | 240 | 21375 |
| Class eg:y | | | |
| Total | 21135 | 240 | 21375 |
| Total as a % of Total Voting rights | 0.57 | 0.01 | 0.58 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share | 0.57 | 0.01 | 0.58 |

| | | | |
|--|-------|-----|-------|
| capital) (XI)= (VII)+(X) As a % of (A+B+C2) | | | |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of equity shares held in dematerialized form (XIV) | 21135 | 240 | 21375 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |
| Sub-categorization of shares | | | |
| Shareholding (No. of shares) under | | | |
| Sub-category (i) | 0 | 0 | 0 |
| Sub-category (ii) | 0 | 0 | 0 |
| Sub-category (iii) | 0 | 0 | 0 |

significant beneficial owners

| Sr. No. | Details of the SBO | | | | | Details of the registered owner | | | | | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: | | | | | Date of creation / acquisition of significant beneficial interest |
|---------------|--------------------|------------|--|-------------|---|---------------------------------|------------|--|-------------|---|---|---------------|--|---------------------|-----------------------------------|---|
| | Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Whether by virtue of: | | | | | |
| | | | | | | | | | | | Shares | Voting rights | Rights on distributable dividend or any other distribution | Exercise of control | Exercise of significant influence | |
| 1 | ARVIND GOENKA | ADDPG4464R | | India | | ORIENTAL CARBON & CHEMICALS LTD | AAACO3006F | | India | | | 1.08 | | Yes | Yes | 01-10-2009 |
| Total: | | | | | | | | | | | 0 | 1.08 | 0 | | | |
| 2 | AKSHAT GOENKA | AJLPG3347E | | India | | ORIENTAL CARBON & CHEMICALS LTD | AAACO3006F | | India | | | 0.97 | | Yes | Yes | 01-06-2015 |
| 3 | AKSHAT GOENKA | AJLPG3347E | | India | | COSMOPOLITAN INVESTMENTS LTD | AABCC0471H | | India | | | 59.66 | | Yes | Yes | 12-01-2021 |
| Total: | | | | | | | | | | | 0 | 60.63 | 0 | | | |

